

## **XII PLAN GUIDELINES FOR ESTABLISHMENT OF CENTRES IN UNIVERSITIES FOR STUDY OF SOCIAL EXCLUSION AND INCLUSIVE POLICY**

### **Introduction**

#### **Social issues**

Social exclusion not only generates tension, violence and disruption but also perpetuates inequality and deprivation in Society. In India, certain communities such as Scheduled Castes, Scheduled Tribes and religious minorities experience systemic exclusion in the matter of taking advantages of development. The nature, extent and forms of exclusion have to be studied for theoretical and policy purposes. This calls for a multidisciplinary approach.

The concept of “Social exclusion” in social science literature is of recent origin. Social exclusion is a complex and multidimensional concept having social, cultural, political and economic ramifications. These dimensions are interwoven. The relations of social exclusion can be differentiated in several ways. One can talk of systemic or constitutive exclusion, which is inbuilt in hierarchical social system. It excludes certain communities from interaction and access to social resources through social arrangements, normative value systems and customs. The exclusion based on caste is one example. Patriarchy is another example. Such systemic exclusion is reinforced by instrumental exclusion, which is embedded in the economic structure and the macroeconomic policies associated with them. Members of certain groups by virtue of not having particular marketable assets/capabilities and facilities/linkages are excluded from opportunities to add/improve their capabilities. For example, those who are assetless (landless in the rural area) do not get adequate useful education to improve skills and enhance their capabilities. Such exclusion leads to other kinds of deprivation, which, in Amartya Sen’s words, “lead to the impoverishment of the human life through their casual consequences (such as the denial of social and economic opportunities that would be helpful persons involved).” The consequences of macroeconomic policies such as poverty, unemployment and involuntary migration exclude the victims from economic, cultural and political activities. Systemic exclusion reinforced by instrumental exclusion, supports structures of hegemony.

The study of such hegemonic structures is all the more, important in the Indian context where institutional inequality and discrimination has been a pervasive feature of our society. This has prevented a large segment of the population especially those on the margin of the society from access to rights and privileges enjoyed by the dominant sections of society. Overcoming ‘exclusion’ constitutes the most elementary pre-requisite for the building of a democratic society, the goal with which our freedom struggle was fought, and the tacit social impact on the basis of which modern India has come into being. This concern is the centre of our Constitution. Indian Constitution provides equality to all citizens irrespective of caste, creed, region and gender. It also directs the State to take various measures to remove the different forms of discrimination, inequality and thereby helps to eradicate social exclusion. Without attaining this objective, an integrated and united nation cannot be built on a sound footing. The potentialities and limitations of such protective measures need to be studied carefully. The Tenth Plan recognized that marginalization; exclusion, persecution of people on account of social, religious, caste and gender adversely affect developmental outcomes. The State is committed to instituting a National Charter to ensure social justice to deprived communities like Scheduled Castes, Scheduled Tribes, other backward castes and minorities.

## **Need for Establishment of Centres**

The institutions of higher learning need to address this issue. The primary space where 'exclusion' can be studied, understood, and first transcended, are our universities, which can and must act as a beacon for society. The UGC has therefore decided to support research on the issue of social exclusion, which has theoretical as well as policy importance. The focus of such research will be social exclusion related to dalits, tribals and religious minorities. The idea is to establish a number of teaching-sum-research Centres in Universities to pursue these themes.

## **2. Objectives**

Some key objectives of setting up these Centres are:

- a) Conceptualizing discrimination, exclusion and inclusion based on castes/ethnicity and religion.
- b) Developing understanding of the nature and dynamics of discrimination and exclusion.
- c) Contextualizing and problematizing discrimination, exclusion and inclusion.
- d) Developing an understanding of discrimination at an empirical level.
- e) Formulating policies for protecting the rights of these groups and eradicating the problem of exclusion and discrimination.

## **3. Functions**

The type of intellectual activities that such Centers will be engaged in will include.

- a) Teaching courses at the M. A. and M. Phil levels leading eventually to full –fledged M.Phil and even M.A. programmes in Social Exclusion Studies;
- b) Undertaking M. Phil and Ph. D. supervision.
- c) Collecting information on Social Exclusion and building up a database
- d) Carrying out detailed analyses based on the socio-economic data generated by government agencies
- e) Organizing regular conferences, seminars and symposia on the theme of Social Exclusion
- f) Publishing regularly the research findings of the Faculty and students
- g) Organizing public lectures on the subject by eminent scholars
- h) Reaching out to scholars, especially young scholars, in other universities And colleges through an active programme of inviting Visiting Faculty
- i) Establishing links with Civil society Organizations engaged in combating Social Exclusion
- j) Short-term Orientation courses for political leaders, Parliamentarians, government officials, trade unionists and media personalities

## **4. Eligibility**

Financial assistance under the Scheme would be available to such Universities and Deemed Universities, which come within the purview of Section 2(f) or Section 3 and are fit to receive central assistance under section 12(B) of the UGC Act, 1956.



## **6. Infrastructure**

The University will have to provide adequate building space furniture and other necessary infrastructure from its own resources for setting up the Centre for Social Exclusion and Inclusive Policy in the University.

## **7. Procedure for applying for the scheme**

The Commission will invite proposals from Universities in each financial year as per the prescribed proforma (Annexure-I).

## **8. Procedure for approval by the UGC**

The proposals received from Universities will be scrutinized with the help of an expert Committee, constituted by the Chairman.

## **9. Procedure for release of grants by UGC**

The Commission will communicate approval for setting up of Centres to the universities and first installment of Non-Recurring and Recurring Grant will be released along with approval letter. Subsequent grant will be released only on the receipt of statement of expenditure and utilization certificate, along with the report of work undertaken for the grant already released. Audited statement of expenditure may be sent immediately after the Audit is completed. For claiming grant from the UGC for salary of the staff against the posts sanctioned for the scheme, the University should submit the Following information to the U.G.C. immediately after making the appointments.

- (i) State Govt.'s or university's own assurance to bear the liability towards the salary of the said post after the Commission's assistance ceases on completion of five years
- (ii) Name of the person appointed.
- (iii) Academic qualifications and experience.
- (iv) Post held by the incumbent prior to his/her appointment against the said post and the name of the Institution where he/she was working.
- (v) Date of joining the new post.
- (vi) Minutes of the Selection Committee and the approval of Appointing Authority.
- (vii) Details of the monthly pay offered including allowances in the scale of pay.
- (viii) Number of increments, if any, to be given.
- (ix) Amount payable up to the end of the financial year.
- (x) Whether action been taken to fill the resultant vacancy, in case the person appointed belongs to the same University?
- (xi) A certificate to the effect that the appointment has been made in accordance with the procedure and norms

**10. Period of Assistance**

Assistance under the scheme would be available for a period of five years. Presently the Commission will provide assistance under the scheme up the end of XII Plan period only.

**11. Procedure for monitoring the progress of Scheme.**

At the end of each academic year, the Director of the Centre, will submit an Appraisal report duly countersigned by the Registrar or Vice Chancellor of the University, to the UGC, indicating the detailed performance and activities undertaken by the Centre and the actual impact of research and teaching activities undertaken by the Centre.

UNIVERSITY GRANTS COMMISSION  
New Delhi

Performa for Financial Assistance for the Scheme of Establishment of Centers in Universities for Study of Social Exclusion and Inclusive Policy.

1. Name of the University : .....  
(Address, Pin code and State) : .....  
Phone No : .....  
Fax No : .....  
E-Mail : .....
2. Date of Establishment of the University : .....
3. Type of Management Central University/State University/Deemed University
4. Category of the University  
i) Men ii) Women iii) Co-Educational
5. Does the University come within the preview of Section 2(f) or 3 and is fit to receive central assistance under section 12(B) of the UGC Act 1956 (Please Attached copy of UGC letter.) Yes/No
6. Location of the University Backward/Rural/Tribal/Hill Area/Urban
7. Whether the University is Located in SC/ST/Minority concentrated District.  
Enrollment in Degree and Post Graduate Classes (As on 1<sup>st</sup> January, 2014)

Under Graduate	General	SC	ST	OBC	Minority	Total	Percentage
Ist Year							
IInd Year							
IIIrd Year							
Total							
Post Graduate	General	SC	ST	OBC	Minority	Total	Percentage
Ist Year							
IInd Year							
Total							

8. Bank Detail:

1.	<b>Name of Account Holder With Complete Contact Address, Telephone Number and Email.</b>	
2.	Bank Name	
3.	<b>Branch Name With Complete Address, Telephone Number And Email</b>	
4.	<b>Whether The Branch is Computerised?</b>	
5.	<b>Whether the Branch is RTGS Enabled? If Yes, Then What is the Branch's IFSC Code</b>	
6.	<b>Whether the Branch Also NEFT Enabled?</b>	
7.	<b>Type of Bank Account (SB/Current/Cash Credit)</b>	
8.	<b>Complete Bank Account Number (Latest)</b>	
9.	<b>MICR Code of Bank</b>	

9. Faculty-wise staff strength  
 (please attach a list indicating name, dept./ qualifications)
- a) Permanent .....
  - b) Temporary of Ad-hoc .....
  - c) Part – time .....
  - d) Visiting Faculty .....

10. Infrastructure available
- i. Space available for organizing the Center :
    - a) Office :
    - b) Number of classroom available for the Center :
  - ii. Library facilities available, list of journals and magazines subscribed.  
 Mention only those which are relevant to the Scheme.

11. Financial Assistance.
- | Item                             | Estimated Cost |
|----------------------------------|----------------|
| A. Non Recurring items           |                |
| i) Equipment                     |                |
| B. Recurring items               |                |
| 1. Teaching and Research faculty |                |
| a) Professor                     |                |
| b) Associate Professor           |                |
| c) Assistant Professor           |                |
| d) Research Associate            |                |
| 2. Non Teaching Staff            |                |
| a) Research Assistant            |                |
| b) Professional Assistant        |                |
| c) Data Entry Operator           |                |
| d) Library Attendant             |                |
| e) Attendant                     |                |
| 3. Hiring Services               |                |
| 4. Books & Journals              |                |
| 5. Contingency                   |                |

12. Need and justification in support of the proposal  
 (Please attach separate sheet giving full justification)

13. Plan for the year to conduct different Activities
- i) Debates/Seminar/Workshop/Symposia
  - ii) MA, M.phil & PhD.
  - iii) Books and Articles
  - iv) Research undertaken and computed
  - v) Consultancy
  - vi) Collaborations

Signature:

Registrar  
 (Seal)

Format - I

Information to be furnished for claiming grant for the salary of Staff appointed under the centre for Social Exclusion and Inclusive Policy.

S.No.	Name of the Person appointed	Designation	Scale of Pay/Pay Band & Grade Pay	Academic Qualification and experience	Basic Pay at the time of joining (Number of Increments given, if any)	Date of Joining	Parent organization/post held before joining the post	Remarks, if any

**The following documents may also be submitted alongwith the above :-**

- i. Minutes of the Selection Committee and the approval of Appointing authority of the staff appointed in the Centre.
- ii. A certificate to the effect that the appointment has been made in accordance with the procedure and norms prescribed as per University rules.
- iii. Whether action has been taken to fill the resultant vacancy, in case the person appointed belongs to the same University?
- iv. State Govt.'s or University's own assurance to bear the liability towards the salary of the said post after the Commission's assistance ceases on completion of five years or upto 31-03-2012, which ever is earlier.

(Signature of Registrar)

Format - II

**Details of the staff (Employee-wise/Month-wise) appointed under the centre for Social Exclusion and Inclusive Policy.**

Name of the University : .....

S. No.	Name of Employee	Designation	Pay Scale/Pay Bank & Grade Pay	Basic pay at the time of joining	Date of joining	Remarks, if any

Month/Year	Basic	DA	HRA	TPA Allowance	Others (pl. specify)	Gross Salary (sum 2 to 7)	Any other item relating to salary expenditure (pl. specify)	Total staff salary exp. (sum 8 to 11)
April								
May								
June								
July								
August								
September								
October								
November								
December								
January								
February								
March								
Total								

Note :

- i. Please modify the format so as to add/change items related to salary expenditure as applicable in the university.

(Signature of Finance Officer)

(Signature of Registrar)

